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**WO 02/10769 A2**

(54) Title: IDENTIFICATION OF NEW THERAPEUTIC TARGETS FOR MODULATING BILE ACID SYNTHESIS

(57) Abstract: Methods for identifying compounds that modulate bile acid synthesis by assessing their ability to act as ligands for short heterodimerizing partner-1 or liver receptor homologue-1 are provided. Also provided are compositions containing these ligands as well as methods for administering these compositions to modulate bile acid synthesis and cholesterol and lipid homeostasis.

**IDENTIFICATION OF NEW THERAPEUTIC TARGETS  
FOR MODULATING BILE ACID SYNTHESIS**

5

**Field of the Invention**

A regulatory cascade of three orphan nuclear receptors, farnesoid X receptor (FXR), short  
10 heterodimerizing partner-1 (SHP-1), and liver receptor  
homologue-1 (LRH-1) has now been identified which provides  
a molecular basis for the coordinate repression of bile  
acid synthesis and cholesterol and lipid homeostasis.  
Specifically, it has been found that FXR induces expression  
15 of SHP-1 which represses expression of cytochrome P450 7A  
(CYP7A) by binding to LHR-1. CYP7A catalyzes the rate  
limiting step in bile acid biosynthesis. The present  
invention relates to the identification of these receptors  
as therapeutic targets and the development of ligands  
20 targeted to these receptors for use in modulating bile acid  
synthesis. In particular, the present invention relates to  
the identification of ligands which modulate the  
interaction of SHP-1 and LRH-1. Methods for using these  
ligands to modulate bile acid synthesis and cholesterol and  
25 lipid homeostasis are also provided.

**Background of the Invention**

Cholesterol is essential for a number of cellular  
processes, including membrane biogenesis and steroid  
30 hormone and bile acid biosynthesis. It is the building  
block for each of the major classes of lipoproteins found  
in cells of the human body. Accordingly, cholesterol  
biosynthesis and catabolism are highly regulated and  
coordinated processes. A number of diseases and/or  
35 disorders have been linked to alterations in cholesterol  
metabolism or catabolism including atherosclerosis, gall  
stone formation, and ischemic heart disease. An  
understanding of the pathways involved in cholesterol

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homeostasis is essential to the development of useful therapeutics for treatment of these diseases and disorders.

The metabolism of cholesterol to bile acids represents a major pathway for cholesterol elimination from the body, accounting for approximately half of the daily excretion. These cholesterol metabolites are formed in the liver and secreted into the duodenum of the intestine, where they have important roles in the solubilization and absorption of dietary lipids and vitamins. Most bile acids (approximately 95%) are subsequently reabsorbed in the ileum and returned to the liver via the enterohepatic circulatory system.

Cytochrome P450 7A (CYP7A) is a liver specific enzyme that catalyzes the first and rate-limiting step in one of the two pathways for bile acid biosynthesis (Chiang, J.Y.L. 1998. *Front. Biosci.* 3:176-193; Russell, D.W. and K.D. Setchell. 1992. *Biochemistry* 31:4737-4749). The gene encoding CYP7A is regulated by a variety of endogenous, small, lipophilic molecules including steroid and thyroid hormones, cholesterol, and bile acids. Notably, CYP7A expression is stimulated by cholesterol feeding and repressed by bile acids. Thus, CYP7A expression is both positively (stimulated or induced) and negatively (inhibited or repressed) regulated.

CYP7A expression is regulated by several members of the nuclear receptor family of ligand-activated transcription factors (Chiang, J.Y.L. 1998. *Front. Biosci.* 3:176-193; Gustafsson, J.A. 1999. *Science* 284:1285-1286; Russell, D.W. 1999. *Cell* 97:539-542). Recently, two nuclear receptors, the liver X receptor (LXR ; NR1H3; Apfel, R. et al. 1994. *Mol. Cell. Biol.* 14:7025-7035; Willy, P.J. et al. 1995. *Genes Devel.* 9:1033-1045) and the farnesoid X receptor (FXR; NR1H4; Forman, B.M. et al. 1995. *Cell* 81:687-693; Seol, W. et al. 1995. *Mol. Endocrinol.* 9:72-85) were implicated in the positive and negative regulation of CYP7A (Peet, D.J. et al. 1998. *Curr. Opin. Genet. Develop.* 8:571-575; Russell, D.W. 1999. *Cell* 97:539-

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542). Both LXR and FXR are abundantly expressed in the liver and bind to their cognate hormone response elements as heterodimers with the 9-cis retinoic acid receptor, RXR (Mangelsdorf, D.J. and R.M. Evans. 1995. *Cell* 83:841-850).

5 LXR is activated by the cholesterol derivative 24,25(S)-epoxycholesterol and binds to a response element in the CYP7A promoter (Lehmann, J.M. et al. 1997. *J. Biol. Chem.* 272:3137-3140). CYP7A is not induced in response to cholesterol feeding in mice lacking LXR (Peet, D.J. et al.

10 1998. *Cell* 93:693-704). Moreover, these animals accumulate massive amounts of cholesterol in their livers when fed a high cholesterol diet. These studies establish LXR as a cholesterol sensor responsible for positive regulation of CYP7A expression.

15 Bile acids stimulate the expression of genes involved in bile acid transport such as the intestinal bile acid binding protein (I-BABP) and repress CYP7A as well as other genes involved in bile acid biosynthesis such as CYP8B (which converts chenodeoxycholic acid to cholic acid), and

20 CYP27 (which catalyzes the first step in the alternative "acidic" pathway for bile acid synthesis)(Javitt, N.B. 1994. *FASEB J.* 8:1308-1311; Russell, D.W. and K.D. Setchell. 1992. *Biochemistry* 31:4737-4749). Recently, FXR was shown to be a bile acid receptor (Makishima, M. et al.

25 1999. *Science* 284:1362-1365; Parks, D.J. et al. 1999. *Science* 284:1365-1368; Wang, H. 1999. *Mol. Cell* 3:543-553). Several different bile acids, including chenodeoxycholic acid and its glycine and taurine conjugates were demonstrated to bind to and activate FXR at physiologic

30 concentrations. In addition, DNA response elements for the FXR/RXR heterodimer were identified in both the human and mouse I-BABP promoters, indicating that FXR mediates positive effects of bile acids on I-BABP expression (Grober, J. et al. 1999. *J. Biol. Chem.* 274:29749-29754;

35 Makishima, M. et al. 1999. *Science* 284:1362-1365). Further, the rank order of bile acids that activate FXR correlates with that for repression of CYP7A in a

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hepatocyte-derived cell line (Makishima, M. et al. 1999. *Science* 284:1362-1365). Thus, these studies indicate that FXR also has a role in the negative effects of bile acids on gene expression.

5        However, the molecular mechanism of bile acid-mediated repression of CYP7A, and specifically the role of FXR has been unclear. Since the CYP7A promoter lacks a strong FXR/RXR binding site (Chiang, J.Y. and D. Stroup. 1994. *J. Biol. Chem.* 269:17502-17507; Chiang, J.Y. et al. 10 2000. *J. Biol. Chem.* 275:10918-10924), it is unlikely that the effect is from the direct interaction of FXR.

A ligand which selectively binds and activates FXR has been identified. Using this ligand it has been demonstrated that the human orphan nuclear receptor, FXR, 15 interacts with a nuclear receptor, short heterodimerizing partner-1 (SHP-1). Further, it has now been demonstrated that SHP-1 interacts with LRH-1 to modulate expression of CYP7A. Accordingly, these three receptors are part of a regulatory cascade for coordinate repression of bile acid 20 synthesis and cholesterol and lipid homeostasis.

#### **Summary of the Invention**

An object of the present invention is to provide methods for identifying new therapeutic agents which 25 modulate bile acid synthesis. These agents comprise ligands which interact with short heterodimerizing partner-1 (SHP-1) or liver receptor homologue-1 (LRH-1) to modulate expression of genes involved in bile acid synthesis. In a preferred embodiment of the present invention, the agents 30 comprise ligands which modulate the interaction of SHP-1 with LRH-1. Another object of the present invention is to provide a method for modulating bile acid synthesis in a patient in need thereof which comprises administering to the patient a composition comprising a ligand for short 35 heterodimerizing partner-1 (SHP-1) or liver receptor homologue-1 (LRH-1). In a preferred embodiment, the

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composition comprises a ligand which modulates the interaction of SHP-1 with LRH-1.

This technology can thus be used to affect bile acid and cholesterol and lipid homeostasis such that ultimately 5 cholesterol and lipid levels are modified and to treat diseases in which regulation of bile acid, cholesterol and lipid levels is important.

#### Detailed Description of the Invention

10 Bile acids are cholesterol metabolites formed in the liver and secreted into the duodenum of the intestine wherein assist in the solubilization and absorption of dietary lipids and vitamins. Thus, bile acids have an important role not only in regulating cholesterol 15 homeostasis, but also in regulating lipid homeostasis. Modulators of bile acid synthesis can therefore be used in a variety of treatments including, but not limited to, inhibition of fatty acid absorption in the intestine for the treatment of dyslipidemia, obesity and associated 20 diseases including atherosclerosis, inhibition of protein and carbohydrate digestion in the intestine for the treatment of obesity, and inhibition of de novo cholesterol biosynthesis in the liver for the treatment of disease related to elevated cholesterol levels including 25 atherosclerosis and gall stones.

Bile acids repress the expression of genes involved in their biosynthesis, including cytochrome P450 7A (CYP7A) which catalyzes the rate limiting step in bile acid biosynthesis. A bile-acid regulatory cascade providing a 30 molecular basis for the coordinate suppression of CYP7A and other genes involved in bile acid synthesis has now been identified. Using a potent, non-steroidal farnesoid X receptor (FXR) ligand, it has been demonstrated that FXR induces expression of short heterodimerizing protein 1 35 (SHP-1; NRB02), an atypical member of the nuclear receptor family that lacks a DNA binding domain. Further, it has now been demonstrated that SHP-1 represses expression of

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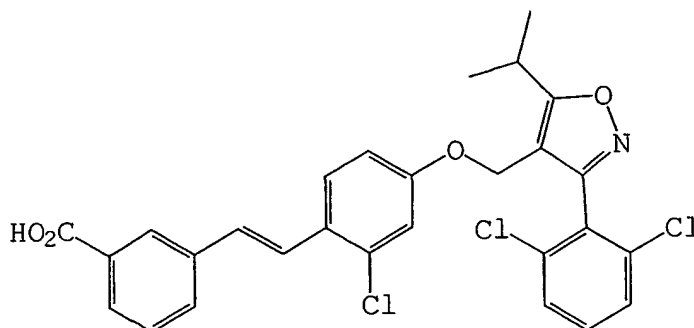
CYP7A by binding to the nuclear receptor liver receptor  
homologue 1 (LRH-1; NR5A2), which binds to a response  
element in the CYP7A gene promoter. The interaction of  
SHP-1 and LRH-1 can also result in alterations of  
5 expression of other genes that these receptors aid in  
regulating, including genes involved in lipid absorption  
and digestion in the small intestine and lipid homeostasis  
in the liver. Examples of such genes include, but are not  
limited to, genes involved in bile acid transport, lipid  
10 absorption, cholesterol biosynthesis, proteolysis, amino  
acid metabolism, glucose biosynthesis, protein translation,  
electron transport and hepatic fatty acid metabolism.  
Thus, the identification of the SHP-1 and LRH-1 receptors  
being involved in this regulatory cascade serves as a basis  
15 for identifying and designing compositions useful in the  
modulation of bile acid synthesis and cholesterol and lipid  
homeostasis.

Accordingly, the present invention relates to the  
identification of ligands specific for SHP-1 or LHR-1 and  
20 methods of using these ligands in compositions for the  
modulation of bile acid synthesis as well as cholesterol  
homeostasis and lipid homeostasis. In a preferred  
embodiment of the present invention, the ligands modulate  
the interaction of SHP-1 with LRH-1. For purposes of the  
25 present invention, by "modulation", "modulate", or  
"modulator" it is meant to regulate, adjust or alter  
physiological conditions or parameters associated with SHP-  
1 and LRH-1. Thus, examples of modulation include, but are  
not limited to, the ligand either increasing or decreasing  
30 gene expression or activity of the SHP-1 or LRH-1 receptors  
identified in this biosynthetic cascade for bile acid  
synthesis, alterations in timing of expression of one or  
both of these receptors, increases or decrease in bile acid  
synthesis, and alterations in cholesterol and lipid  
35 homeostasis. By the term "ligand" it is meant a compound  
with the pharmacologic activity to bind to and modulate a  
receptor in this biosynthetic cascade for bile acid

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synthesis. In a preferred embodiment, binding of the ligand to either the SHP-1 or LRH-1 receptor modulates the interaction of SHP-1 with LRH-1.

Ligands for use in the compositions of the present invention can be identified routinely through screening of libraries of compounds using assays such as the FRET assay as described in Parks, D.J. 1999. *Science* 284:1365-1368 and in WO 00/25134. This assay was used to identify a potent ligand for the FXR receptor. This ligand, referred to herein as GW4064, is depicted in Formula (I):



In contrast to bile acids such as chenodeoxycholic acid which bind to FXR with low (micromolar) affinities and interact with other proteins, the potent, selective FXR ligand, GW4064 binds to FXR with an  $EC_{50}$  value of 15 nm. GW4064 also activates rodent and human FXR with  $EC_{50}$  values of 80 and 90 nm, respectively, in CV-1 cells transfected with FXR expression vectors and a reporter driven by two copies of the hsp70 ecdysone receptor response element. Accordingly, this isoxazole of Formula I is 100-fold more potent than chenodeoxycholic acid as an FXR agonist. GW4064 is also highly selective for FXR, activating only the FXR-GAL4 chimera in a panel of nuclear receptor binding assays wherein CV-1 cells were transfected with expression



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vectors for various GAL4-nuclear receptor ligand binding domain chimeras and the reporter plasmid (UAS)<sub>5</sub>-tk-CAT.

Several recent studies have implicated FXR in the repression of CYP7A (Makishima et al. 1999 Science 5 284:1362-5; Parks et al. 1999 Science 284:1365-8, Wang et al. 1999 Molecular Cell 3:543-53). Repression of expression of CYP7A by compounds such as bile acids is known to be part of a regulatory feedback loop that controls the rate of their biosynthesis from cholesterol 10 (Russell, D.W. 1999. Cell 97:539-42; Russell, D.W. and K.D. Setchell, 1992. Biochemistry 31:4737-49). Accordingly, the effects of GW4064 on CYP7A expression were examined.

Treatment of animals with GW4064 was demonstrated to decrease CYP7A levels. Rats treated with GW4064 for 7 days 15 showed a decrease in CYP7A expression levels as compared to vehicle treated rats. This decrease was still measurable despite the fact that the animals had been maintained on a normal light cycle and sacrificed during the daytime when CYP7A levels are known to be quite low. The ability of 20 GW4064 to decrease CYP7A expression in a dose dependent fashion was confirmed in human hepatocytes.

As will be understood by those of skill in the art upon reading this disclosure, additional ligands which are selective for FXR and useful in compositions of the present 25 invention can also be identified in accordance with the procedures described herein. Further, the structure of GW4064 provides a template for the design of new compounds with similar structures also expected to be selective ligands for FXR. Using this structure as a template both 30 agonists and antagonists for FXR can be designed. The selectivity of these new compounds for FXR can be determined routinely by those of skill in the art based upon these teachings provided herein. Like GW4064, newly

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identified selective FXR ligands can also be used in the modulation of bile acid biosynthesis.

Using GW4064, SHP-1 has also been identified to be involved in the regulation FXR in the liver. RNA prepared from the livers of rats treated with GW4064 for 7 days exhibited a six-fold increase in SHP-1 expression as compared to RNA from vehicle-treated rats. GW4064 treatment also markedly increased SHP-1 expression in a dose-dependent manner in hepatocytes from both humans and rats. Results from these studies were similar to results from human hepatocytes treated with chenodeoxycholic acid, an endogenous FXR ligand; however, the endogenous ligand was much less potent than GW4064. The reciprocal relationship between regulation of SHP-1 and CYP7A expression, i.e., GW4064 and chenodeoxycholic acid repressed CYP7A expression at the same concentrations that were required for induction of SHP-1 expression, is indicative of FXR-mediated induction of SHP-1 being involved in repression of CYP7A expression. Further, scanning of the mouse, rat and human SHP-1 has revealed the presence of an FXR/RXR binding site within the SHP-1 promoter, which is indicative of the SHP-1 gene being directly regulated by FXR. Direct regulation of SHP-1 by FXR was confirmed in experiments in HepG2 cells transfected with an FXR expression plasmid and reporter plasmids under the control of either the rat or human SHP-1 promoter. Treatment of cells transfected with the FXR expression plasmid and either promoter with GW4064 resulted in a marked induction of reporter activity. In contrast, cells with no FXR or mutations in the SHP-1 promoter for the FXR/RXR binding site showed little to no induction.

Using a mammalian two-hybrid approach, experiments were then performed to determine the ability of SHP-1 to interact with a variety of nuclear receptors implicated in

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the regulation of CYP7A. CV-1 cells were transfected with an expression plasmid for a GAL4-SHP-1 chimera, the (UAS)<sub>5</sub>-tk-CAT reporter, and expression plasmids for chimeras between the strong transcriptional activation domain of VP16 and the isolated ligand binding domains of TR , RXR , RAR , LXR , COUP-TF, HNF4 , and LRH-1. The GAL4-SHP-1 chimera had no activity on its own. Increased reporter activity was detected when GAL4-SHP-1 was co-expressed with RXR in the presence of its ligand 9-cis retinoic acid, demonstrating that this nuclear receptor interacts with SHP-1 in cells in a ligand-dependent fashion. Strong reporter activity was also detected when GAL4-SHP-1 was cotransfected with VP16-LRH-1, activity that was dependent on the presence of GAL4-SHP-1. Accordingly, these data demonstrate that SHP-1 interacts with LRH-1 in cells.

SHP-1 was also demonstrated to play a role in the repression of CYP7A expression. Cotransfection experiments were performed with a rat CYP7A luciferase reporter plasmid containing nucleotides -1573 to +36 of the rat CYP7A promoter, including a conserved LRH-1 binding site. Reporter activity was detected when CYP7A-LUC was introduced into HepG2 cells, demonstrating that the CYP7A promoter has basal activity. Cotransfection of increasing amounts of a LRH-1 expression plasmid resulted in a dose-dependent increase in reporter activity. The LRH-dependent reporter activity was completely blocked by the cotransfection of SHP-1 expression plasmid. Thus, these data demonstrate that SHP-1 can repress LRH-1-dependent activation of the CYP7A promoter.

Accordingly, compositions comprising ligands for SHP-1 can be used in the modulation of bile acid synthesis and cholesterol and lipid homeostasis. Further, as demonstrated herein, activation of the CYP7A promoter is also dependent on LRH-1. Thus, compositions comprising

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ligands selective to LRH-1 can also be used to modulate bile acid biosynthesis and cholesterol and lipid homeostasis. In a preferred embodiment of the present invention, the composition comprises a ligand which  
5 modulates the interaction of SHP-1 with LRH-1.

Screening of ligands that modulate the SHP-1/LRH-1 interaction can be performed using the mammalian two-hybrid approach described in the preceding paragraph. This approach identifies both SHP-1 modulators and LRH-1  
10 modulators. Alternatively, a FRET-based interaction assay using the LRH-1 ligand binding domain and an interacting peptide from SHP-1 can be employed to identify ligands that modulate the LRH-1/SHP-1 interaction.

Compositions of the present invention comprising a  
15 ligand for SHP-1 or LHR-1 can be administered to a patient to modulate CYP7A expression levels, thereby modulating bile acid synthesis and cholesterol homeostasis. Ligands which activate FXR transcriptional activity, promote or strengthen the SHP-1/LRH-1 interaction, or inhibit LRH-1  
20 transcriptional activity decrease expression levels of CYP7A, thereby modulating the rate of bile acid synthesis. Accordingly, the compositions of the present invention are useful in modulating cholesterol homeostasis as well as lipid homeostasis and in the treatment of diseases and  
25 disorders including, but not limited to, atherosclerosis, gall stones, ischemic heart disease, obesity, and dyslipidemia.

Dosing regimes, as well as selection of appropriate routes of administration for the compositions of the  
30 present invention can be determined routinely by one of skill in the art based upon *in vitro* and *in vivo* data generated in accordance with procedures such as described herein. It is preferred that compositions of the present invention comprise an amount of ligand which is effective

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at modulating the synthesis of bile acids. This amount, referred to herein as the "bile acid synthesis modulating amount" can be determined routinely for each identified ligand based upon its activity determined in vitro in human  
5 cells and in vivo in animal models. Bile acid modulating amounts can be confirmed in patients in need thereof by monitoring the effects of the ligand on cholesterol and/or lipid levels in the patient. Methods for monitoring cholesterol and lipid levels in a patient are well known  
10 and performed routinely by those skilled in the art.

The following non-limiting examples are provided to further illustrate the present invention.

#### **EXAMPLES**

##### **15 Example 1: Materials**

Chenodeoxycholic acid, dexamethasone, and charcoal-stripped, delipidated calf serum were purchased from Sigma Chemical Co. (St. Louis, MO). DNA modifying enzymes, polymerases and restriction endonucleases were purchased  
20 from Roche Molecular Biochemicals (Indianapolis, IN). Charcoal, dextran-treated fetal bovine serum (FBS) was purchased from Hyclone Laboratories Inc. (Logan, UT). The human hepatocellular carcinoma cell line HepG2 was obtained from the American Type Culture Collection (ATCC number HB-  
25 8065, Manassas, VA). MATRIGEL was obtained from Becton Dickinson Labware (Bedford, MA). All other tissue culture reagents were obtained from Life Technologies Inc. (Gaithersburg, MD).

##### **30 Example 2: Animals**

Male Fisher rats were obtained from Charles River Laboratories Inc. (Raleigh, NC) and maintained on a 12 hour light/12 hour dark cycle. Animals were allowed food and chow *ad libitum*. GW4064 (30 mg/kg) was administered by

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gavage twice a day for 7 days and the animals sacrificed by cervical dislocation 4 hours after final treatments. Livers were excised and snap-frozen in liquid nitrogen. Differential gene expression analysis was performed by

5 Curagen Corp. (New Haven, CT).

### Example 3: Plasmid Constructs

Expression plasmids for the human nuclear receptor-GAL4 chimeras were prepared by inserting amplified cDNAs

10 encoding the ligand binding domains into a modified pSG5 expression vector (Stratagene, La Jolla, CA) containing the GAL4DBD (amino acids 1 to 147) and the Simian virus 40 (SV40) large T antigen nuclear localization signal (APKKRKRVG; SEQ ID NO:1). The (UAS)<sub>5</sub>-TK-CAT and

15 (hsp27EcRE)<sub>2</sub>-TK-LUC reporter constructs have been previously described (Lehmann et al. 1995. *J. Biol. Chem.* 270:12953-12956 and Forman, B.M. et al. 1995. *Cell* 81:687-693, respectively). p-actin-SPAP, an expression vector containing the human secreted placental alkaline

20 phosphatase (SPAP) cDNA under the control of -actin promoter was used as an internal control in all transfections. The expression plasmids for human and mouse FXR (pSG5-hFXR and pSG5-mFXR, respectively) and human SRC-1 have been previously described (Kliwer, S.A. et al. 1998.

25 *Cell* 92:73-82; Parks, D.J. et al. 1999. *Science* 284:1365-1368). The full-length coding regions for human LRH-1 (GenBank AB019246) and human SHP-1 (GenBank L76571) were amplified by PCR and cloned into pSG5, creating pSG5-hLRH-1 and pSG5-hSHP-1, respectively. A consensus Kozak sequence

30 was created during amplification. The rat (bases -441 to +19) and human (-572 to +10) SHP-1 promoters were amplified by PCR and the fragments inserted into the BglII site of pGL3-Basic, a promoter-less luciferase reporter vector (Promega, Madison, WI). Site-directed mutagenesis of

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putative FXR/RXR binding sites in the rat and human SHP-1 promoters was performed using the Transformer mutagenesis system (Clontech, Palo Alto, CA) with the ratIR1 (bases -321 to -287, 5'-CCTGGTACAGCCTGGGaaTAATAtaaCTGTTTATAC-3'; SEQ ID NO:2) and humanIR1 (bases -304 to -270, 5'-CCTGGTACAGCCTGAaaTAATGtaTTGTTTATCC-3'; SEQ ID NO:3) primers. Underlined residues are those which have been mutated from the wild-type sequence. Mutated constructs were verified to be free of non-specific base changes by sequencing. pGL3-rCYP7A (-1573/+36) contains bases -1573 to +36 of the rat CYP7A promoter (GenBank Z14108) inserted into the NheI site of pGL3-Basic. VP16-nuclear receptor chimeras contained the 80-amino acid herpes virus VP16 transactivation domain linked to the nuclear receptor ligand binding domain in a modified pSG5 expression vector.

#### Example 4: Transient Transfection Assays

Transient transfection of CV-1 cells was performed as described previously (Jones, S.A. et al. 2000. *Mol. Endocrinol.* 14:27-39). Typically, transfection mixes contained 2-5 ng receptor expression vector, 20 ng reporter construct, and 8 ng p-actin-SPAP. The amount of DNA used in each transfection was adjusted to 80 ng with carrier plasmid (pBluescript, Stratagene, La Jolla, CA). Cells were maintained for 24 hours in the presence of drug (added as a 1000x stock in dimethyl sulfoxide) in DMEM/F-12 nutrient mixture containing 10% charcoal-stripped, delipidated calf serum. An aliquot of medium was assayed for SPAP activity and the cells lysed prior to determination of luciferase expression. Luciferase activities were normalized to SPAP. HepG2 cells were maintained in DMEM/F-12 supplemented with 10% heat-inactivated FBS (Life Technologies, Inc., Gaithersburg, MD). Plasmid DNA was transfected into HepG2 cells using

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FuGENE6 transfection reagent according to the manufacturer's instructions (Roche Molecular Biochemicals, Indianapolis, IN). Thus, 24 well culture plates (15 mm diameter) were inoculated with  $7 \times 10^5$  cells 24 hours prior to transfection. Cells were transfected overnight in serum-free DMEM/F-12 with 100 ng reporter construct, 32 ng p-actin-SPAP, and 0-400 ng receptor expression vectors (adjusted to 400 ng with carrier plasmid). Following transfection, the medium was aspirated and the cells cultured for a further 48 hours in DMEM/F-12 supplemented with 10% heat-inactivated FBS. SPAP and luciferase values were determined.

**Example 5: Primary Culture of Human and Rat Hepatocytes and Northern Blot Analysis**

Primary human hepatocytes and rat hepatocytes ( $1.5 \times 10^6$  cells) were cultured on MATRIGEL-coated six well plates in serum-free Williams' E medium supplemented with 100 nM dexamethasone, 100 U/ml penicillin G, 100 µg/ml streptomycin, and insulin-transferrin-selenium (ITS-G, Life Technologies, Inc., Gaithersburg, MD). Twenty-four hours after isolation, hepatocytes were treated with either GW4064 (0.1-10 µM) or chenodeoxycholic acid (1-100 µM) which were added to the culture medium as 1000x stocks in dimethyl sulfoxide. Control cultures received vehicle alone. Cells were cultured for a further 48 hours prior to harvest and total RNA isolated using a commercially available reagent (Trizol, Life Technologies Inc., Gaithersburg, MD) according to the manufacturer's instructions. Total RNA (10 µg) was resolved on a 1% agarose/2.2 M formaldehyde denaturing gel and transferred to a nylon membrane (Hybond N+, Amersham Pharmacia Biotech Inc., Piscataway, NJ). Blots were hybridized with  $^{32}\text{P}$ -labeled cDNAs corresponding to human SHP-1, human CYP7A



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(bases 99 to 1564, GenBank M93133), mouse SHP-1 (bases 30 to 783, GenBank L76567), or rat CYP7A (bases 235 to 460, GenBank J05460). The SHP-1 cDNA used in these experiments encodes the full-length human SHP-1 protein (amino acids 1-260) as described in Seol et al. (1996 *Science* 272:1336), Subsequently, blots were stripped and reprobed with a radiolabeled  $\alpha$ -actin cDNA (Clontech, Palo Alto, CA).

**Example 6: Electrophoretic Mobility-Shift Assay**

Electrophoretic mobility shift assays (EMSA) were performed as previously described (Lehmann, J.M. et al. 1997. *J. Biol. Chem.* 272:3137-3140). HFXR and hRXR were synthesized from pSG5-hFXR and pSG5-hRXR expression vectors, respectively, using the TNTT7-coupled Reticulocyte System (Promega, Madison, WI). Unprogrammed lysate was prepared using the pSG5 expression vector (Stratagene, La Jolla, CA). Binding reactions contained 10 mM HEPES, pH 7.8, 60 mM KCl, 0.2% nonidet P-40, 6% glycerol, 2 mM dithiothreitol (DTT), 2  $\mu$ g poly(dI-dC)\*poly(dI-dC), and 1  $\mu$ l each of synthesized hFXR or hRXR. Control incubations received unprogrammed lysate alone. Reactions were pre-incubated on ice for 10 minutes prior to the addition of [ $^{32}$ P]-labeled double-stranded oligonucleotide probe (0.2 pmol). Competitor oligonucleotides were added to the pre-incubation at 5, 25 or 75-fold molar excess. Samples were held on ice for a further 20 minutes and the protein-DNA complexes resolved on a pre-electrophoresed 5% polyacrylamide gel in 0.5 X TBE (45 mM Tris-borate, 1 mM EDTA) at room temperature. Gels were dried and autoradiographed at -70 C for 1 to 2 hours. The following double-stranded oligonucleotides were used as probes and competitors in EMSA: rSHP, 5'-gatcCCTGGGTTAATAACCCTGT-3' (SEQ ID NO:4); mSHP, 5'-gatcCCTGGGTTAATGACCCTGT-3' (SEQ ID NO:5); hSHP, 5'-gatcCCTGAGTTAATGACCTTGT-3' (SEQ ID NO:6);

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mI-BABP, 5'-gatcTTAAGGTGAATAACCTTGG-3' (SEQ ID NO:7); hI-BABP, 5'-gatcCCAGGTGAATAACCTCGG-3' (SEQ ID NO:8); mSHPmut, 5'-gatcCCTGGaaTAATGttCCTGT-3' (SEQ ID NO:9). Underlined residues are those which have been mutated from the wild-type sequence.

#### Example 7: GST Pull-Down Assays

GST-SHP-1 fusion protein was expressed in BL21(DE3)plysS cells and bacterial extracts prepared by one cycle of freeze-thaw of the cells in protein lysis buffer containing 50 mM Tris (pH 8.0), 250 mM KCl, 1% Triton X-100, 10 mM DTT and 1X Complete Protease Inhibitor (Roche Molecular Biochemicals, Indianapolis, IN) followed by centrifugation at 40,000 x g for 30 minutes. Glycerol was added to the resultant supernatant to a final concentration of 10%. Lysates were stored at -80 C until use. [<sup>35</sup>S]-labeled human LRH-1 or mouse pregnane X receptor (PXR), a negative control, were generated using TNT T7-coupled Reticulocyte System (Promega) in the presence of PRO-MIX (Amersham Pharmacia Biotech Inc., Piscataway, NJ). Coprecipitation reactions included 25 µl lysate containing GST-SHP-1 fusion protein or control GST, 25 µl incubation buffer (50 mM KCl, 40 mM HEPES, pH 7.5, 5 mM - mercaptoethanol, 0.1% TWEEN 20, and 1% non-fat dry milk), and 5 µl [<sup>35</sup>S]-labeled LRH-SHP-1 or PXR. The mixtures were incubated for 25 minutes with gentle rocking at 4 C prior to the addition of 20 µl glutathione-sepharose 4B beads (Amersham Pharmacia Biotech Inc., Piscataway, NJ) that had extensively washed in protein lysis buffer. Reactions were incubated at 4 C with gentle rocking for an additional 20 minutes. The beads were pelleted at 3000 rpm in a microfuge and washed 4 times with protein incubation buffer. Following the final wash, the beads were resuspended in 25 µl of 2X SDS-PAGE sample buffer

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containing 50 mM DTT. Samples were heated to 100 C for 5 minutes and loaded onto 10% Bis-Tris PAGE gel. Autoradiography was performed overnight.

5 All of the references cited in this application are herein incorporated by reference.

Other objects, features, advantages and aspects of the present invention will become apparent to those of skill in the art from the above description and the following claims. It should be understood, therefore, that the above description including the specific examples as well as the following claims, while indicating preferred embodiments of the invention, are given by way of illustration only. Various changes and modifications within the spirit and scope of the disclosed invention which will become readily apparent to those skilled in the art from reading this disclosure are therefore also encompassed by this application.

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What is claimed is:

1. A method for identifying compounds that modulate bile acid synthesis comprising assessing the ability of a compound to act as a ligand for short heterodimerizing partner-1 or liver receptor homologue-1, the ability of the  
5 compound to act as a ligand for one of these receptors being indicative of the compound being a modulator of bile acid synthesis.
- 10 2. The method of claim 1 wherein the ability of the ligand to modulate the interaction of short heterodimerizing partner-1 with liver receptor homologue-1 is assessed.
- 15 3. A method for modulating bile acid synthesis in a patient in need thereof comprising administering to a patient a composition comprising a ligand for short heterodimerizing partner-1 or liver receptor homologue-1.
- 20 4. The method of claim 3 wherein the composition comprises a ligand which modulates the interaction of short heterodimerizing partner-1 with liver receptor homologue-1.
5. The method of claim 3 wherein the composition  
25 comprises a bile acid synthesis modulating amount of ligand.
6. The method of claim 3 wherein cholesterol or lipid homeostasis is modulated.
- 30 7. A composition for modulating bile acid synthesis comprising a ligand for short heterodimerizing protein-1 or liver receptor homologue-1.

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8. The composition of claim 7 wherein the ligand modulates the interaction of short heterodimerizing protein-1 with liver receptor homologue-1.

5 9. The composition of claim 7 comprising a bile acid synthesis modulating amount of ligand.

## SEQUENCE LISTING

<110> GLAXO GROUP LIMITED

<120> IDENTIFICATION OF NEW THERAPEUTIC  
TARGETS FOR MODULATING BILE ACID SYNTHESIS

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<140> TO BE ASSIGNED

<141> 2001-07-30

<150> 60/221,708

<151> 2000-07-31

<160> 9

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23



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(74) Agents: **LEVY, David, J et al.**; GlaxoSmithKline, Five Moore Drive, P.O. Box 13398, Research Triangle Park, NC 27709 (US).

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(72) Inventors; and

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(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 02/010769 A3

(54) Title: IDENTIFICATION OF NEW THERAPEUTIC TARGETS FOR MODULATING BILE ACID SYNTHESIS

(57) Abstract: Methods for identifying compounds that modulate bile acid synthesis by assessing their ability to act as ligands for short heterodimerizing partner-1 or liver receptor homologue-1 are provided. Also provided are compositions containing these ligands as well as methods for administering these compositions to modulate bile acid synthesis and cholesterol and lipid homeostasis.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/24203

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 G01N33/68 C07K14/705

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

BIOSIS, MEDLINE, EPO-Internal, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 00 34461 A (MANGELSDORF DAVID J ;DIETSCHY JOHN M (US); REPA JOYCE J (US); UNIV) 15 June 2000 (2000-06-15) abstract; claims 15-43	1-6
Y	NITTA MASAHIRO ET AL: "CPF: An orphan nuclear receptor that regulates liver-specific expression of the human cholesterol 7alpha-hydroxylase gene." PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES, vol. 96, no. 12, 8 June 1999 (1999-06-08), pages 6660-6665, XP002209570 June 8, 1999 ISSN: 0027-8424 abstract	1,3,5,6

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*Z\* document member of the same patent family

Date of the actual completion of the international search

12 August 2002

Date of mailing of the international search report

02/09/2002

Name and mailing address of the ISA

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/24203

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>DEL CASTILLO-OLIVARES ANTONIO ET AL:  "alpha1-fetoprotein transcription factor is required for the expression of sterol 12alpha-hydroxylase, the specific enzyme for cholic acid synthesis: Potential role in the bile acid-mediated regulation of gene transcription."  JOURNAL OF BIOLOGICAL CHEMISTRY,  vol. 275, no. 23,  9 June 2000 (2000-06-09), pages  17793-17799, XP002209571  ISSN: 0021-9258  abstract</p>	1,3,5,6
P,Y	<p>LU TIMOTHY T ET AL: "Molecular basis for feedback regulation of bile acid synthesis by nuclear receptors."  MOLECULAR CELL,  vol. 6, no. 3, September 2000 (2000-09),  pages 507-515, XP002209572  ISSN: 1097-2765  abstract</p>	1-6
P,X	<p>GOODWIN BRYAN ET AL: "A regulatory cascade of the nuclear receptors FXR, SHP-1, and LRH-1 represses bile acid biosynthesis."  MOLECULAR CELL,  vol. 6, no. 3, September 2000 (2000-09),  pages 517-526, XP002209573  ISSN: 1097-2765  abstract</p>	1-9
P,X	<p>MALONEY PATRICK R ET AL: "Identification of a chemical tool for the orphan nuclear receptor FXR."  JOURNAL OF MEDICINAL CHEMISTRY,  vol. 43, no. 16,  10 August 2000 (2000-08-10), pages  2971-2974, XP002209574  ISSN: 0022-2623  Scheme 1 on p.2973</p>	7-9
A	<p>CHIANG JOHN Y L ET AL: "Farnesoid X receptor responds to bile acids and represses cholesterol 7alpha-hydroxylase gene (CYP7A1) transcription."  JOURNAL OF BIOLOGICAL CHEMISTRY,  vol. 275, no. 15,  14 April 2000 (2000-04-14), pages  10918-10924, XP002209575  ISSN: 0021-9258  cited in the application</p>	

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Form PCT/ISA/210 (continuation of second sheet) (July 1992)

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/24203

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
T	<p>LEE YOON-KWANG ET AL: "Dual mechanisms for repression of the monomeric orphan receptor liver receptor homologous protein-1 by the orphan small heterodimer partner." JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 277, no. 4, 25 January 2002 (2002-01-25), pages 2463-2467, XP002209576 January 25, 2002 ISSN: 0021-9258</p> <p>-----</p>	1-9

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

## Continuation of Box I.1

Although claims 3-6 are directed to a method of treatment of the human body, the search has been carried out and based on the alleged effects of the composition.

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## Continuation of Box I.1

Claims Nos.: 3-6 (partially)

Rule 39.1(iv) PCT - Method for treatment of the human or animal body by therapy

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## Continuation of Box I.2

Claims Nos.: 7-9 (partially)

Present claims 7-9 relate to a substance and its use defined by reference to a desirable characteristic or property, namely a modulator of bile acid synthesis comprising a ligand for short heterodimerizing protein-1 or liver receptor homologue-1.

The said claims cover all substances having this characteristic or property, whereas the application provides support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT for only GW4064. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Independent of the above reasoning, the claims also lack clarity (Article 6 PCT). An attempt is made to define the substances by reference to a result to be achieved. Again, this lack of clarity in the present case is such as to render a meaningful search over the whole of the claimed scope impossible.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 01/24203

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 3-6 (partially)  
because they relate to subject matter not required to be searched by this Authority, namely:  
see FURTHER INFORMATION sheet PCT/ISA/210
2. ☒ Claims Nos.: 7-9 (partially)  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/24203

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 0034461 A	15-06-2000	AU 2051600 A	26-06-2000
		WO 0034461 A2	15-06-2000

Form PCT/ISA/210 (patent family annex) (July 1992)

